

# Finding the Core-Genes of Chloroplasts

Bassam ALkindy, Jean-François Couchot, Christophe Guyeux, Arnaud Mouly, Michel Salomon, and Jacques M. Bahi

**Abstract**—Due to the recent evolution of sequencing techniques, the number of available genomes is rising steadily, leading to the possibility to make large scale genomic comparison among sets of close species. An interesting question to answer is: what are the common functionality genes of a collection of species, or conversely, to determine what is specific to a given species when compared to other ones belonging in the same genus, family, etc. Investigating such problem means to find both core and pan genomes of a collection of species, i.e. genes in common to all the species vs. the set of all genes in all species under consideration. However, obtaining trustworthy core and pan genomes is not an easy task, leading to a large amount of computation, and requiring a rigorous methodology. Surprisingly, as far as we know, this methodology in finding core and pan genomes has not really been deeply investigated. This research work tries to fill this gap by focusing only on chloroplastic genomes, whose reasonable sizes allow a deep study. To achieve this goal, a collection of 99 chloroplasts are considered in this article. Two methodologies have been investigated, respectively based on sequence similarities and genes names taken from annotation tools. The obtained results will finally be evaluated in terms of biological relevance.

**Index Terms**—Chloroplasts, coding sequences, clustering, genes prediction, methodology, pan genome, core genome.

## I. INTRODUCTION

Identifying core genes may be of importance either to understand the shared functionality and specificity of a given set of species, or to construct their phylogeny using curated sequences. Therefore, in this work we present methods to determine both core and pan genomes of a large set of DNA sequences. More precisely, we focus on the following questions by using a collection of 99 chloroplasts as an illustrative example: how can we identify the best core genome (that is, an artificially designed set of functional coding sequences as close as possible to the real biological one) and how to deduce scenarios regarding their genes loss. In other words, how to deduce scenarios regarding the gene increasing compared to the core genome?

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Chloroplasts found that in Eucaryotes there is an endosymbiotic origin, which means that they come from the incorporation of a photosynthetic bacteria (Cyanobacteria) within an eucaryotic cell, which means they are the fundamental key elements in living organisms history, as they are organelles responsible for photosynthesis. This latter is the main way to produce organic matters from mineral ones using solar energy. Consequently photosynthetic organisms are at the basis of most ecosystem trophic chains. Indeed photosynthesis in Eucaryotes allow a great speciation in the lineage, leading to a great biodiversity. From an ecological point of view, photosynthetic organisms are at the origin of the presence of dioxygen in the atmosphere (allowing extant life) and are the main source of mid to long term carbon storage, which is fundamental regarding current climate changes. However, the chloroplasts evolutionary history is not totally well understood, at large scale, and their phylogeny requires to be further investigated.

A key idea in phylogenetic classification is that a given DNA mutation shared by at least two taxa has a larger probability to be inherited from a common ancestor than to have occurred independently [1]. Thus shared changes in genomes allow to build relationships among species. In the case of chloroplasts, an important category of genomes changes is the loss of functional genes, either because they become ineffective or due to a transfer to the nucleus. Thereby a small number of gene losses among species indicates that these species are close to each other and belong to a similar lineage, while a large loss means distant lineages.

Phylogenies of photosynthetic plants are important to assess the origin of chloroplasts and the modes of gene loss among lineages. These phylogenies are usually done using a few chloroplastic genes, some of them being not conserved in all the taxa. This is why selecting core genes may be of interest for a new investigation of photosynthetic plants phylogeny. Such investigations have already been started in [2], where core genome for photosynthetic productivity in Cyanobacteria (*Synechococcus* and *Prochlorococcus*) has been regarded. Authors identified core photosystem II genes in cyanophages, which may increase viral fitness by supplementing the host production of some specific types of proteins. The study also proposed evidences of the presence of photosystem I genes in the genomes of viruses that affect cyanobacteria. However, the circumscription of the core chloroplast genomes for a given set of photosynthetic organisms needs bioinformatics investigations using sequence annotation and comparison tools, for which choices are available.

Our intention in this first research work regarding the methodology in core and pan genomes determination is to investigate the impact of these choices. A general presentation

of the approaches detailed in this document is provided in the next section. Then we will study in Section III-A the use of annotated genomes from NCBI website [3] with a coding sequences clustering method based on the Needleman-Wunsch similarity scores [4]. We will show that such an approach based on sequences similarity cannot lead to satisfactory results, biologically speaking. We will thus investigate name-based approaches in Section III-B, by using successively the gene names provided by NCBI and DOGMA

[5] annotations, where DOGMA is a recent annotation tool specific to chloroplasts. Finally, a discussion based on biological aspects regarding the evolutionary history of the considered genomes will finalize our investigations, leading to our methodology proposal for core and pan genomes discovery of chloroplasts. This research work ends by a conclusion section, in which our investigations will be summarized and intended future work will be planned.

TABLE I: LIST OF CHLOROPLAST GENOMES OF PHOTOSYNTHETIC EUKARYOTES LINEAGES FROM NCBI

Brown Algae	11	NC 001713.1	<i>Odontella sinensis</i>	45	Angiosperms	NC 007898.3	<i>Solanum lyopersicum</i>
		NC 008588.1	<i>Phaeodactylum tricornutum</i>			NC 001568.1	<i>Epifagus virginiana</i>
F1	3	NC 010772.1	<i>Heterosigma akashiwo</i>			NC 001666.2	<i>Zea Mays</i>
		NC 011600.1	<i>Vaucheria litorea</i>			NC 005086.1	<i>Amborella trichopoda</i>
Green Algae	17	NC 012903.1	<i>Aureoumbra lagunensis</i>			NC 006050.1	<i>Nymphaea alba</i>
		NC 014808.1	<i>Thalassiosira oceanica</i>			NC 006290.1	<i>Panax inseng</i>
F2	3	NC 015403.1	<i>Fistulifera sp</i>			NC 007578.1	<i>Lactuca sativa</i>
		NC 016731.1	<i>Synedra acus</i>			NC 007957.1	<i>Vitis vinifera</i>
F3	2	NC 016735.1	<i>Fucus vesiculosus</i>			NC 007977.1	<i>Helianthus annuus Daucus carota</i>
		NC 018523.1	<i>Saccharina japonica</i>			NC 008325.1	<i>Nandina domestica Morus indica</i>
F4	2	NC 020014.1	<i>Nannochloropsis gaditana</i>			NC 008336.1	<i>Jasminum nudiflorum</i>
		NC 000925.1	<i>Porphyra purpurea</i>			NC 008359.1	<i>Drimys granadensis</i>
F5	1	NC 001840.1	<i>Cyanidium caldarium</i>			NC 008407.1	<i>Piper cenocladum</i>
		NC 006137.1	<i>Gracilaria tenuistipitata</i>			NC 008456.1	<i>Dioscorea elephantipes</i>
F6	2	NC 000927.1	<i>Nephroselmis olivacea</i>			NC 008457.1	<i>Cuscuta gronovii</i>
		NC 002186.1	<i>Mesotigma viride</i>			NC 009601.1	<i>Ipomea purpurea Oenothera biennis Manihot esculenta</i>
F5	1	NC 005353.1	<i>Chlamydomonas reinhardtii</i>			NC 009765.1	<i>Trachelium caeruleum</i>
		NC 008097.1	<i>Chara vulgaris</i>			NC 010361.1	<i>Olea europea</i>
F6	2	NC 008099.1	<i>Oltmannsiellopsis viridis</i>			NC 010433.1	<i>Typha latifolia</i>
		NC 008114.1	<i>Pseudoclonium akinetum Ostreococcus tauri</i>			NC 010442.1	<i>Eucalyptus</i>
F5	1	NC 008289.1	<i>Stigeoclonium helveticum Chlorokybus atmophyticus Oedogonium cardiacum Pycnococcus provaseolii Pyramimonas</i>			NC 013707.2	<i>Castanea mollissima Theobroma cacao Bambusa emeiensis Wolffia australiana Sesamum indicum</i>
		NC 008372.1	<i>Marchantia polymorpha Anthoceros formosae Physcomitrella patens</i>			NC 014676.2	<i>Boea hygrometrica Gossypium darwinii Silene vulgaris</i>
F6	2	NC 008822.1	<i>Kryptoperidinium foliaceum Durinskia baltica</i>			NC 015830.1	<i>Brassica napus</i>
		NC 011031.1	<i>Euglena gracilis Monomorpha aenigmatica</i>			NC 015899.1	<i>Ricinus communis Colocasia esculenta</i>
F5	1	NC 012097.1	<i>Psilotum nudum Angiopteris evecta Pteridium aquilinum Equisetum arvense Mankyu chejuensis</i>			NC 016433.2	<i>Phalaenopsis equestris</i>
		NC 001319.1	<i>Emiliana huxleyi</i>			NC 016468.1	<i>Magnolia denudata Fragaria chiloensis Ranunculus macranthus</i>
F6	2	NC 004543.1	<i>Isoetes flaccida Huperzia lucidula</i>			NC 016670.1	<i>Phoenix dactylifera Nicotiana undulata</i>
		NC 005087.1				NC 016727.1	
F5	1	NC 014267.1				NC 016734.1	
		NC 014287.1				NC 016736.1	
F6	2	NC 001603.2				NC 016753.1	
		NC 020018.1				NC 017609.1	
F5	1	NC 003386.1				NC 018357.1	
		NC 008829.1				NC 019601.1	
F6	2	NC 014348.1				NC 008796.1	
		NC 014699.1				NC 013991.2	
F5	1	NC 017006.1				NC 016068.1	
F6	2						

where lineages F1, F2, F3, F4, F5, and F6 are Red Algae, Bryophytes, Dinoflagellates, Euglena, Haptophytes, and Lycophytes respectively.

## II. GENERAL PRESENTATION

Fig. 1 presents a general overview of the entire proposed pipeline for core and pan genomes production and exploitation, which consists of three stages: Genomes annotation, Core extraction, and Features Visualization.

As a starting point, the pipeline uses a DNA sequences database like NCBI's GenBank, the European EMBL database [6], or the Japanese DDBJ one [5]. It is possible to

obtain annotated genomes (DNA coding sequences with gene names and locations) by interacting with these databases, either by directly downloading annotated genomes delivered by these websites, or by launching an annotation tool on complete downloaded genomes. Obviously, this annotation stage must be of quality if we want to obtain acceptable core and pan genomes. Various cost-effective annotation tools [7] that produce genomic annotations at many detailed levels have been designed recently, some reputed ones being:

DOGMA [8], cpBase [9], CpGAVAS [10], and CEGMA [11]. Such tools usually use one out of the three following methods for finding gene locations in large DNA sequences: alignment based, composition based, or a combination of both [11]. The alignment based method is used when trying to predict a protein coding sequence by aligning a genomic DNA sequence with a cDNA sequence coding which is an already known homologous protein [11].

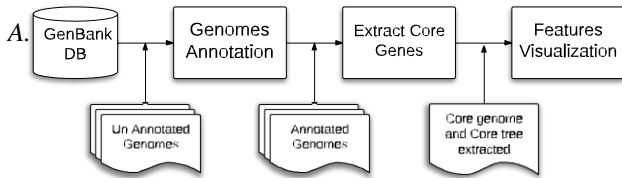


Fig. 1. A general overview of the annotation-based approach.

This approach is used for instance in GeneWise [12]. The alternative method, the composition based one (also known as *ab initio*) is based on probabilistic models of genes structure [13].

Using such annotated genomes, we will detail two general approaches for extracting the core genome, which is the second stage of the pipeline: The first one uses similarities computed on predicted coding sequences, while the second one uses all the information provided during the annotation stage. Indeed, such annotations can be used in various manners (based on gene names, gene sequences, protein sequences, etc.) to extract the core and pan genomes.

The final stage of our pipeline, only evoked in this article, is to take advantage of the information produced during the core and pan genomes search. This features visualization stage encompasses phylogenetic tree construction using core genes, genes content evolution illustrated by core trees, functionality investigations, and so on.

For illustration purposes, we have considered 99 genomes of chloroplasts downloaded from GenBank database [3] as shown in Table I. These genomes lie in the eleven types of chloroplast families. Furthermore, two kinds of annotations will be considered in this document, namely the ones provided by NCBI on the one hand, and the other ones by DOGMA on the other hand.

### III. CORE GENOMES EXTRACTION

#### A. Similarity-Based Approach

The first method, described below, considers a distance-based similarity measure on genes' coding sequences. Such an approach requires annotated genomes, like the ones provided by the NCBI website.

##### 1) Theoretical presentation

We start with the following preliminary definition.

**Definition 1:** Let  $A = \{A, C, T, G\}$  be the nucleotides alphabet, and  $A^*$  be the set of finite words on  $A$  (i.e. of DNA sequences). Let  $d : A^* \times A^* \rightarrow [0, 1]$  be a function called similarity measure on  $A^*$ . Consider a given value  $T \in [0, 1]$  called a threshold. For all  $x, y \in A^*$ , we will say that  $x \sim_{d,T} y$  if  $d(x, y) \leq T$ .

Let it be given a similarity threshold  $T$  and a similarity measure  $d$ . The method begins by building an undirected graph among all the DNA sequences  $g$  of the set of genomes as follows: there is an edge between  $g_i$  and  $g_j$  if  $g_i \sim_{d,T} g_j$  is established. In other words, vertices are DNA sequences, and two sequences are connected with an edge which is their similarity is larger than a predefined threshold. Remark that this graph is generally not connected for sufficiently large thresholds.

This graph is further denoted as the "similarity" graph. We thus say that two coding sequences  $g_i, g_j$  are equivalent with respect to the relation  $\mathfrak{R}$  if both  $g_i$  and  $g_j$  belong in the same connected component (CC) of this similarity graph, i.e., if there is a path between  $g_i$  and  $g_j$  in the graph. Another way to say this is that if there is a finite sequence  $S_1, \dots, S_k$  of vertices (DNA sequences) such that  $g_i$  is similar to  $S_1$ , which is similar to  $S_2$ , etc., and  $S_k$  is similar to  $g_j$ .

It is not hard to see that this relation is an equivalence relation whereas  $\sim$  is not. Any class for this relation is called a "gene" in this article, where its representatives (DNA sequences) are the "alleles" of this gene, such abuse of language being proposed to set our ideas down. Thus this first method

produces for each genome  $G$ , which is a set  $\{g_1^G, \dots, g_{m_G}^G\}$  of  $m_G$  DNA coding sequences, the projection of each sequence according to  $\pi$ , where  $\pi$  maps each sequence into its gene (class) according to  $\mathfrak{R}$ . In other words, a genome  $G$  is

mapped into  $\{\pi(g_1^G), \dots, \pi(g_{m_G}^G)\}$ . Note that a projected genome has had no duplicated gene since it is a set.

Consequently, the core genome (resp., the pan genome) of two genomes  $G_1$  and  $G_2$  is defined as the intersection (resp., as the union) of their projected genomes. We finally consider the intersection of all the projected genomes, which is the set of all the genes  $x$  such that each genome has at least one allele in  $x$ . This set will constitute the core genome of the whole species under consideration. The pan genome is computed similarly as the union of all the projected genomes.

Remark finally that this first method requires the calculation of all similarities among all allele sequences in all species under consideration. So, even in the case of about 100 organisms and with a focus on a specific family or function, this is a computationally heavy operation. In a future work, the authors' intention is to take benefits from the very large set of already computed similarities, to develop heuristic approaches using this basis of knowledge, specific to chloroplastic genes, making it possible to build rapidly this similarity graph.

##### 2) Case study

Let us now consider the 99 chloroplastic genomes introduced earlier. We will use in this case study either the coding sequences downloaded from NCBI website or the sequences predicted by DOGMA.

DOGMA, which stands for Dual Organellar GenoMe Annotator, has already been evoked in this article. This is a tool developed in 2004 at University of Texas for annotating plant chloroplast and animal mitochondrial genomes. This tool translates a genome into all six reading frames and then

queries its own amino acid sequence database using Blast (blastx [14]) with various ad-hoc parameters. The choice of DOGMA is natural, as this annotation tool is reputed and specific to chloroplasts.

Each genome is thus constituted by a list of coding sequences. In this illustration study, we have evaluated the similarity between two sequences by using a global alignment. More precisely, the measure introduced above is the similarity score provided after a Needleman-Wunch global alignment, as obtained by running the needle command from the emboss package released by EMBL [4]. Parameters of the needle command are the default ones: 10.0 for gap open penalty and 0.5 for gap extension. The number of genes in the core genome and in the pan genome, according to this first method using data and measure described above, have been computed using the supercomputer facilities of the Me'socentre de calcul de Franche-Comte'. Obtained results are represented in Fig. 2 and Fig. 3 with respect to various threshold values on Needleman-Wunsch similarity scores. Remark that when the threshold is large, we obtain more connected components, but with small sizes (a large number of genes, with a few numbers of alleles for each of them). In other words, when the threshold is large, the pan genome is large too. No matter what the chosen annotation tool is this first approach suffers from producing too small core genomes, for any chosen similarity threshold, compared to what is usually expected by biologists.

For NCBI, it is certainly due to a wrong determination of starting and stopping codons in some annotated genomes, due to a large variety of annotation tools used during genomes submission on the NCBI server, Some of them having been old or deficient: such truncated genes will not produce a large similarity score with their orthologous genes present in other genomes. The case of DOGMA is more difficult to explain as, according to our experiments and to the state of the art, this gene prediction tool produces normally good results in average. The best explanation of such an underperformance is that a few genomes are very specific and far from the remainder ones, in terms of gene contents, which leads to a small number of genes in the global core genome. However, this first approach cannot help us to determine which genomes must be removed from our data. To do so, we need to introduce a second approach based on gene names: from the problematic gene names, we will be able to trace back to the problematic genomes.

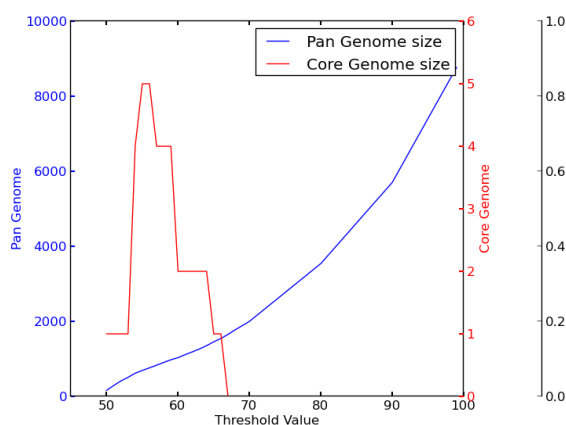


Fig. 2. Results based on NCBI annotation.

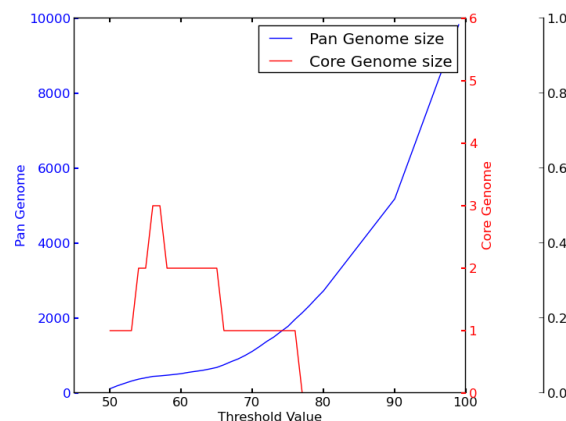


Fig. 3. Results based on DOGMA annotation.

## B. Annotation-Based Approach

### 1) Using genes names provided by annotation tools

Instead of using the sequences predicted by annotation tools, we can try to use the names associated to these sequences, when available. The basic idea is that to annotate all the sequences using a given software, and to consider a core gene in each sequence whose name can be found in all the genomes. Two annotation techniques will be used in the remainder of this article, namely DOGMA and NCBI.

It is true that the NCBI annotations are of varying qualities, and sometimes such annotations are totally erroneous. As stated before, it is due to the large variety of annotation tools that can be used during each sequence submission process. However, we also considered it in this article, as this database contains human-curated annotations. Another way to say this, DOGMA automatic annotations are good in average, while NCBI contains very good human-based annotations together with possibly bad annotated genomes. Let us finally remark that DOGMA also predicts the locations of ribosomal RNA (rRNA), while they are not provided in gene features from NCBI. Thus core genomes constructed on NCBI data will not contain rRNA.

We now investigate core and pan genomes design using each of the two tools separately, which will constitute the second approach detailed in this article. From now on we will consider annotated genomes: either "genes features" downloaded from the NCBI, or the result of DOGMA.

### 2) Names processing

As DOGMA is a deterministic annotation tool, when a given gene is detected twice in two genomes, the same name will be attached to the two coding sequences: DOGMA spells exactly in the same manner the two gene names. So each genome is replaced by a list of gene names, and finding the common core genes between two genomes simply consists in intersecting the two lists of genes. The sole problem we have detected using DOGMA on our chloroplasts is the case of the RPS12 gene: some genomes contain RPS12 3end or RPS12 5end in DOGMA result. We have manually considered that all these representatives belong to the same gene, namely RPS12.

Dealing with NCBI names is more complicated, as various annotation tools have been used together with human annotations, and because there is no spelling rule for gene names. For instance, NAD6 mitochondrial gene is sometimes

written as ND6, while we can find RPOC1, RPOC1A, and RPOC1B in our chloroplasts. So if we simply consider NCBI data without treatment, intersecting two genomes provided as list of gene names often leads to duplication of misspelled genes. Automatic names homogenization is thus required on NCBI annotations. The question is where to draw the line on correcting errors in the spelling of genes? In this second approach, we propose to automate only obvious modifications like putting all names in capital letters and removing useless symbols as “ ”, “(”, and “)”. Remark that such simple renaming process cannot tackle with the situations of NAD6 or RPOC1 evoked above. To go further, automatic corrections require of using edit distances like Levenshtein, however, such use will raise false positives (different genes with close names will be homogenized). The use of edit distances on gene names, together with a DNA sequence validation stage, will be investigated in a second methodology article.

At this stage, we consider now that each genome is mapped to a list of gene names where names have been homogenized in the NCBI case.

### 3) Core genes extraction

To extract core genes, we iteratively collect the maximum number of common genes among genomes. Therefore during this stage an Intersection Core Matrix (ICM) is built. ICM is a two dimensional symmetric matrixes where each row and each column correspond to one genome. Hence, an element of the matrix stores the Intersection Score (IS): the cardinality of the core genes obtained by intersecting the two genomes. Mathematically speaking, if we have  $n$  genomes in local database, the ICM is an  $n \times n$  matrix whose elements satisfy:

$$score_{ij} = |g_i \cap g_j| \quad (1)$$

where  $1 \leq i \leq n$ ,  $1 \leq j \leq n$ , and  $g_i, g_j$  are genomes.

The generation of a new core genome depends obviously on the value of the intersection scores  $score_{ij}$ . More precisely, the idea is to consider a pair of genomes such that their score is the largest element in the ICM. These two genomes are then

removed from the matrix and result new core genome that is added for the next iteration. The ICM is then updated to take into account the new core genome: new IS values are computed for it. This process is repeated until no new core genome can be obtained.

#### Algorithm 1 Extract Maximum Intersection Score

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**Require:**  $L \leftarrow$  genomes sets  
**Ensure:**  $B1 \leftarrow$  Max Core set

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for  $i \leftarrow 1 : \text{len}(L) - 1$  do
     $score \leftarrow 0$ 
     $core1 \leftarrow \text{set}(\text{GenomeList}[L[i]])$ 
     $g1 \leftarrow L[i]$ 
    for  $j \leftarrow i + 1 : \text{len}(L)$  do
         $core2 \leftarrow \text{set}(\text{GenomeList}[L[j]])$ 
         $core \leftarrow core1 \cap core2$ 
        if  $\text{len}(core) > score$  then
             $score \leftarrow \text{len}(core)$ 
             $g2 \leftarrow L[j]$ 
        end if
    end for
     $B1[score] \leftarrow (g1, g2)$ 
end for
return  $\text{max}(B1)$ 

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We can observe that the ICM is relatively large due to the amount of species. As a consequence, the computation of the intersection scores is both time and memory consuming. However, since ICM is obviously a symmetric matrix we can reduce the computation overhead by considering only its upper triangular part. The time complexity for this process is:  $O(n \cdot (n-1)/2)$ . Algorithm 1 illustrates the construction of the ICM matrix and the extraction of the core genomes, where GenomeList represents the database storing all genomes data. At each iteration, this algorithm computes the maximum core genome with its two parents (genomes).

### 4) Features visualization

The last stage of the proposed pipeline is naturally to take advantage of the produced core and pan genomes for biological studies. As this key stage is not directly related to the methodology for core and pan genomes discovery, we will only outline a few tasks that can be operated on the produced data.

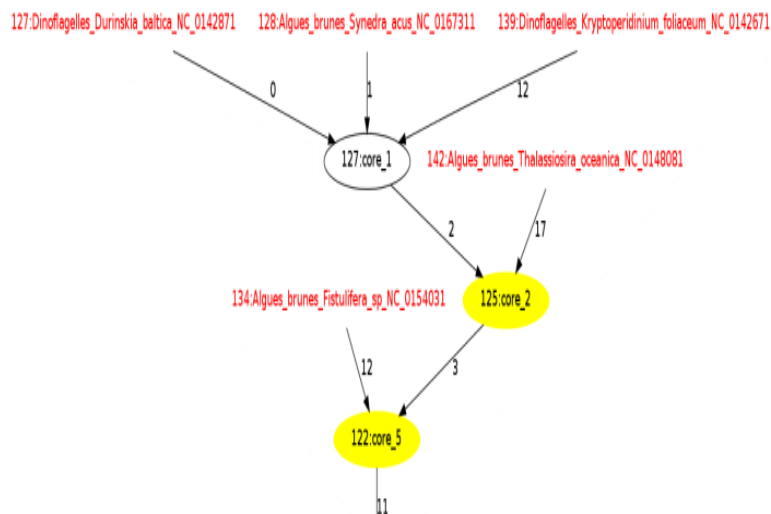


Fig. 4. Part of a core genomes evolutionary tree (NCBI gene names).

Obtained results may be visualized by building a core genomes evolutionary tree, simply called core tree. Each node

in this tree represents a chloroplast genome or a predicted core, as depicted in Fig. 4. In this figure, nodes labels are of the form (*Genes number: Family name\_Scientific name Accession number*), while an edge is labeled with the number of gene loss when compared to its parents (a leaf genome or an intermediate core genome). Such numbers can answer questions like: how many genes are different between two species? Which functionality has been lost between an ancestor and its children? For complete core trees based either on NCBI names or on DOGMA ones, see <sup>1</sup>.

A second application of such data is obviously to build accurate phylogenetic trees, using tools like PHYML [15] or RAXML [16]. Consider a set of species, the least common core genome in a core tree contains all shared common genes among these species. To constitute a phylogenetic tree, core genes will be multi-aligned to serve as an input to the phylogenetic tools mentioned above. An example of such a phylogenetic tree for core 58 is provided in Fig. 5. Remark that, in order to constitute the phylogenetic tree, a relevant outgroup is needed from Cyanobacteria. The process simply starts by blasting each gene in the core with outgroup genes, and then selects the relevant one.

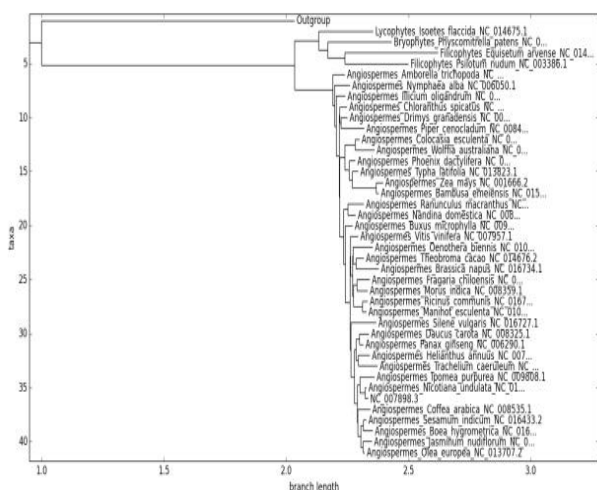


Fig. 5. Part of a phylogenetic tree for core 58 (NCBI gene names).

## IV. DISCUSSION

### A. Biological Evaluation

It is well known that the first plants' endosymbiosis ended in a great diversification of lineages comprising Red Algae, Green Algae, and Land Plants (terrestrial). Several second endosymbioses occurred then: two involving a Red Algae and other heterotrophic eucaryotes and giving birth to both Brown Algae and Dinoflagellates lineages; another involving a Green Algae and a heterotrophic eucaryote and giving birth to Euglens [17].

The interesting point with the produced core trees (especially the one obtained with DOGMA, see <sup>[1]</sup>) is that organisms resulting from the first endosymbiosis are distributed in each of the lineages that are found in the chloroplast genome structure evolution. More precisely, all Red Algae chloroplasts are grouped together in one lineage, while Green

Algae and Land Plants chloroplasts are all in a second lineage. Furthermore organisms resulting from the secondary endosymbioses are well localized in the tree: both the chloroplasts of Brown Algae and Dinoflagellates representatives are found exclusively in the lineage also comprising the Red Algae chloroplasts from which they evolved, while the Euglens chloroplasts are related to the Green Algae chloroplasts from which they evolved. This makes sense in terms of biology, history of lineages, and theories of chloroplasts origins (and so photosynthetic ability) in different Eucaryotic lineages [17].

Interestingly, the sole organisms under consideration possess a chloroplast (and so a chloroplastic genome) but that have lost the photosynthetic ability (being parasitic plants) are found at the basis of the tree, and not together with their phylogenetically related species. This means that functional chloroplast genes are evolutionary constrained when used in photosynthetic process, but loose rapidly their efficiency when not used, as recently observed for a species of Angiosperms [18]. These species are *Cuscuta gronovii*, an Angiosperm (flowering plant) at the base of the DOGMA Angiosperm-Conifers branch, and *Epifagus virginiana*, also an Angiosperm, at the complete basis of this tree.

Another interesting result is that Land Plants that represent a single sub-lineage originating from the large and diverse lineage of Green Algae in Eucaryotes history are presented in two different branches of the DOGMA tree, both associated with Green Algae: one branch comprising the basal grade of Land Plants (mosses and ferns) and the second one containing the most internal lineages of Land Plants (Conifers and flowering plants). But independently of their split in two distinct branches of the DOGMA tree. The Land Plants always show a larger number of functional genes in their chloroplasts than the Green Algae from which they emerged, probably meaning that the terrestrial way of life necessitates more functional genes for an optimal photosynthesis than the marine one. However, a more detailed analysis of selected genes is necessary to better understand the reasons why such a distribution has been obtained. Remark finally that all these biologically interesting results are apparent only in the core tree based on DOGMA, while they are not so obvious in the NCBI one.

## V. CONCLUSION

In this research work, we studied two methodologies for extracting core genes from a large set of chloroplasts genomes, and we developed Python programs to evaluate them in practice.

We firstly considered to extract core genomes by the way of comparisons (global alignment) of DNA sequences downloaded from NCBI database. However this method failed to produce biologically relevant core genomes, no matter what is the chosen similarity threshold, probably due to annotation errors. We then considered to use the DOGMA annotation tool to enhance the genes prediction process. The second method consisted in extracting gene names either from NCBI gene features or from DOGMA results. A first “intersection

<sup>1</sup><http://members.femto-st.fr/christophe-guyeux/en/chloroplasts>

core matrix (ICM)" were built, in which each coefficient stored the intersection cardinality of the two genomes placed at the extremities of its row and column. New ICMs are then successively constructed by selecting the maximum intersection score (IS) in this matrix, removing each time the two genomes from having this score, and adding the corresponding core genome in a new ICM construction. Core trees have finally been generated for each method, to investigate the distribution of chloroplasts and core genomes. The tree from second method based on DOGMA has revealed the best distribution of chloroplasts regarding their evolutionary history. In particular, it appears to us that each endosymbiosis event is well branched in the DOGMA core tree.

In future work, we intend to deepen the methodology evaluation by considering new gene prediction tools and various similarity measures on both gene names and sequences. Additionally, we will investigate new clustering methods on the first approach, to improve the results quality in this promising way to obtain core genes. Finally, the results produced with DOGMA will be further investigated. Biologically speaking: the genes content of each core will be studied while phylogenetic relations among all these species will be questioned.

Computations have been performed on the supercomputer facilities of the Me'socentre de calcul of Franche-Comté.

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